
Policy and Procedure

TITLE: Institutional Signature (Research) Authority

PURPOSE:

The purpose of this policy is to specify the signing authorities required for various types of sponsored grant submissions, contracts, modifications and expenses that are part of a government or recognized research funding agency which may be entered into on behalf of HPHC.

PERSONS AFFECTED:

This policy & procedure (P/P) applies to all Harvard Pilgrim Health Care, Inc. (HPHC) and Harvard Pilgrim Care Institute, LLC (HPHCI) (collectively, HPHC/I) personnel engaged in research, teaching or research administration activities in support of the charitable and educational mission of HPHC, Inc.

POLICY:

The Office of Sponsored Programs (OSP) is responsible for providing the appropriate institutional signature to accept formally the terms and conditions of the award.

Investigators are cautioned not to sign HPHC or HPHCI agreements for sponsored support, patents or copyright licenses, biomaterials, equipment loans, materials transfer, or clinical trials. These agreements bind HPHC to certain obligations and, as such, can be signed only by those who have signature authority.

PROCEDURE:

The list of people with signing authority are as follows:

Director, Office of Sponsored Programs (DOSP)

All Proposal Submissions

Sponsored Programs Expenses up to \$100,000

Initial grants or contracts awarded (requiring institutional signatures) and Subawards up to \$100,000

Modifications and task orders to contracts and subcontracts

OSP Departmental expenses up to \$25,000 (up to \$100,000 if HPHC Vice President Corporate Compliance and Ethics)

HPHC Vice President Corporate Compliance and Ethics

Sponsored Programs Expenses over \$100,000.

OSP Departmental expenses over \$100,000.

Initial grants or contracts awarded, subawards, modifications and task orders to contracts and subcontracts if DOSP is unavailable

Grants Manager

Sponsored Programs Expenses up to \$3,000

Chief Medical Officer

Standard initial contracts awarded to HPHC over \$100,000

Non-standard initial contracts after legal review

Business Analyst

DOSP is unavailable.

REVISION HISTORY:

Department: OSP	Title: P & P Research Signature Authority
Effective Date: 11/1/2020	Owner: Director, Office of Sponsored Programs
Reviewed By/On: 11/1/2020	
Replaces P/P Dated: 9/1/2011; 9/1/2017	
Related Documents:	
References:	
Approved By: Charlotte Johnson	